

KEY INVESTOR INFORMATION

This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.

ALMA PLATINUM IV CQS ASIAN MACRO

Share Class: I1C-U (ISIN: LU2275737679), (Currency: USD)

a sub-fund of Alma Platinum IV. The Fund is managed by Alma Capital Investment Management.

OBJECTIVES & INVESTMENT POLICY

The objective of the Fund is to seek capital appreciation through the application of a directional discretionary macro strategy, capitalising on investment opportunities driven primarily by the markets and economies of the Asia-Pacific region.

The investment manager of the Fund is CQS (UK) LLP (the “**Investment Manager**”). The Fund is actively managed which means that the Investment Manager is actively making investment decisions for the Fund. The Fund is not managed by reference to a benchmark.

The Investment Manager expresses investment themes through long and short positions in highly liquid, easy-to-price instruments such as futures, forwards and listed options in the equity, commodity, currency and rates markets.

The Investment Manager focuses on investment opportunities driven by the markets and economies of the Asia-Pacific region including Australia, New Zealand, Japan, India, China and the Association of South East Asian Nations (ASEAN). The Investment Manager focuses on the major regional economies and markets and the Fund is unlikely to have positions in frontier markets. From time to time the Investment Manager may take positions in non-Asian markets where the Investment Manager believes there is a significant influence from Asia-Pacific economies or markets.

The investment strategy is contrarian, value-orientated and research-driven, and combines longer term strategic positions with shorter term tactical positions. For strategic views, the Investment Manager uses primarily fundamental data while using its proprietary “stress indicators” based on market prices on a daily basis to help with the tactical

positioning. The Investment Manager emphasises capital preservation by employing strict risk management and stop-loss disciplines.

The Investment Manager seeks to identify substantial mis-pricings and expects to have two to three investment themes at any point in time. Typically, investment themes form over weeks or months, and would take 6-18 months or longer to play out, often in several phases or waves across different geographies and asset classes. The Investment Manager may not invest in assets or instruments associated with such investment themes continuously and may trade in and out depending on market conditions.

The Fund uses various risk management techniques to seek to achieve its objective relative to the risks inherent in the portfolio.

As well as using financial contracts (derivatives) to efficiently manage the portfolio and with the aim to reduce foreign currency exposure in respect of the assets (hedging), the Fund will use derivatives for investment purposes. The gross leverage is expected to not exceed 800% of the Net Asset Value of the Fund.

No dividends will be paid on your shares.

You may redeem your investment on demand on a daily basis.

For full details please refer to the Investment Objective and Policy of the Product Annex relating to the Fund.

Capitalised terms used but not defined herein shall have the meanings given to them in the Fund’s Product Annex or Alma Platinum IV’s prospectus (as the case may be).

RISK AND REWARD PROFILE



The risk and reward category is calculated using historical data which may not be a reliable indicator of the Fund’s future risk profile.

The risk and reward category may shift over time and is not a target or a guarantee.

The lowest category (i.e. Category 1) does not mean a risk-free investment.

The Fund is in Category 4 because of the medium range and frequency of price movements (volatility) of the underlying investments referenced by the Fund.

The following are additional risks not covered by the risk and reward category:

The Fund is not guaranteed and your investment is at risk. The value of your investment may go down as well as up.

The Fund relies upon the performance of the Investment Manager of the underlying strategy. If the Investment Manager performs poorly the value of your investment is likely to be adversely affected.

The Fund has been designed for investors who are looking for long term capital growth. As the Fund may use derivatives, it is intended only for knowledgeable and experienced investors who are able to understand and evaluate both the investment strategy and its inherent risks (such understanding, and evaluation may be gained through advice from a professional advisor).

The Fund may use derivatives to try to manage its investments more efficiently. This may not always be successful and may result in greater fluctuations in the value of the Fund. This may negatively affect the value of the Fund and your investment.

The Fund has increased exposure to particular investments known as leverage. A fall in value of such investments can result in a proportionately greater loss to the Fund.

The Fund provides exposure to commodities. Commodity prices react, among other things, to economic factors such as changing supply and demand relationships, weather conditions and other natural events, the agricultural, trade, fiscal, monetary, and other policies of governments and other unforeseeable events all of which may affect your investment.

No risk control system is fail safe, and no assurance can be given that any risk control framework designed or used by the Investment Manager will achieve its objective.

More information in relation to risks in general may be found in the “Risk Factors” section of the prospectus.



CHARGES

The charges paid are used to finance the costs of running the Fund, including the costs of distribution. These charges reduce the potential growth of investments.

| ONE-OFF CHARGES TAKEN BEFORE OR AFTER YOU INVEST | |
|---|-------|
| Entry charge | None |
| Exit charge | None |
| This is the maximum that might be taken out of your money before it is invested (entry charge) and before the proceeds of your investment are paid out (exit charge). | |
| CHARGES TAKEN FROM THE FUND OVER A YEAR | |
| Ongoing charges | 1.72% |
| CHARGES TAKEN FROM THE FUND UNDER CERTAIN SPECIFIC CONDITIONS | |
| Performance fee | |
| Subject to the "High Water Mark" principle, 20% of the Share Class positive performance.. | |

The entry and exit charges shown are maximum figures. In some cases you might pay less - you can find this out from your financial adviser or distributor.

The ongoing charges figure is an estimate of expenses. It may vary from year to year. It excludes portfolio transaction costs and performance fees, if any.

For more information about charges, please refer to the "Fees and Expenses" section of the prospectus, which is available at www.fundsquare.net.

PAST PERFORMANCE

There is insufficient data to provide as useful indication of past performance to investors.

Please be aware that past performance is not a reliable indicator of future results.

The Fund was launched on 28 May 2021 and the share class was launched on 28 May 2021.

PRACTICAL INFORMATION

The depositary bank of the Fund is RBC Investor Services Bank S.A. Copies of the prospectus, the latest annual reports and subsequent half yearly reports (all in English) as well as other information (including the latest share prices) are available free of charge from the Alma Capital Investment Management or at www.fundsquare.net.

Details of the up-to-date remuneration policy, including, but not limited to, a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits, are available at the registered office of the management company, upon request of an investor. More share classes may be available for this Fund - please refer to the prospectus for further details. Please note that not all share classes may be registered for distribution in your jurisdiction.

The taxation regime applicable to the Fund in Luxembourg may affect your personal tax position.

Alma Platinum IV may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus for the Fund.

Alma Platinum IV has a number of different funds. The assets and liabilities of each sub-fund are segregated by law. The prospectus and the periodic reports are prepared for Alma Platinum IV as a whole. You may apply for shares in this Fund to be converted into shares of other sub-funds of the Alma Platinum IV. The switch charge (if any) may be charged on such a conversion. For more information on how to convert your shares to shares of other funds, please refer to the "Conversion of Shares" section in the prospectus.

The Fund is authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier. Alma Capital Investment Management is authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier. This key investor information is accurate as at 16-02-2022.