

## KEY INVESTOR INFORMATION

*This document provides you with key investor information about this Fund. It is not marketing material. The information is required by law to help you understand the nature and the risks of investing in this Fund. You are advised to read it so you can make an informed decision about whether to invest.*

# ALMA PLATINUM IV SYSTEMATIC ALPHA

**Share Class: I5D-G (ISIN: LU1266813309), (WKN: A14W4J), (Currency: GBP)**

a sub-fund of Alma Platinum IV. The Fund is managed by Alma Capital Investment Management.

## OBJECTIVES & INVESTMENT POLICY

The aim of the Fund is to achieve long-term capital appreciation by gaining exposure to a range of financial instruments (derivatives) in accordance with a computer-based trading strategy (Strategy) designed by Winton Capital Management Limited (Winton). Such investments may include derivatives linked to commodities (including energy, metals and crops), share indices, tradeable debt (bonds), interest rates and currencies.

The Fund is actively managed which means that Winton is actively making investment decisions for the Fund. The Fund is not managed in reference to a benchmark.

In particular, the Fund may invest in (i) agreements where one party agrees to buy or sell eligible assets in the future at a particular price within a fixed term (futures contracts) and agreements where one party has the option to buy or sell future contracts at a particular price within a fixed term (options), traded on recognized exchanges, (ii) foreign currency contracts, (iii) over-the-counter derivatives giving exposure to financial indices and/or eligible assets (together, Derivatives Portfolio). Winton will manage the Derivatives Portfolio.

In addition, the Fund will make investments in transferable securities, including eligible commodity linked transferable securities, as are required in order that the Fund's investments in the Derivatives Portfolio and transferable securities together give effect to the Strategy. State Street Global Advisors Limited will manage the transferrable securities.

The Fund will use cash to satisfy the obligations to provide assets as a form of security as well as settlement obligations owed by the Fund in connection with the Derivatives Portfolio.

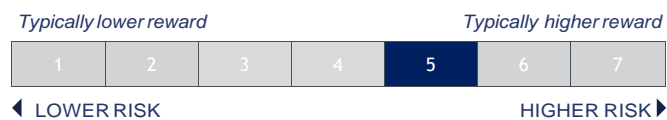
The Fund will seek to place surplus cash on deposit and/or make investments in cash instruments.

The Fund, which is calculated in US dollars, may use hedging to try to reduce the effect of foreign exchange rate fluctuations where investments are denominated in currencies other than US Dollars.

No dividends will be paid on your shares.

You may redeem your investment on demand on a daily basis on one business days' notice with proceeds being settled within three business days.

## RISK AND REWARD PROFILE



The risk and reward category is calculated using historical data which may not be a reliable indicator of the Fund's future risk profile.

The risk and reward category may shift over time and is not a target or a guarantee.

The lowest category (i.e. Category 1) does not mean a risk-free investment.

The Fund is in Category 5 because of the medium range and frequency of price movements (volatility) of the underlying investments referenced by the Fund.

The following are additional risks not covered by the risk and reward category.

The Fund may enter into one or more derivatives with a number of swap counterparties. If any of the counterparties fail to make payments (for example, it becomes insolvent) this may result in your investment suffering a loss.

The Fund may have increased exposure to particular investment known as leverage. A fall in value of such investments can result in a proportionately greater loss to the Fund.

The Fund is not guaranteed, and your investment is at risk. The value of your investment may go down as well as up.

The Fund has been designed for investors who are looking for long term capital growth through exposure to alternative investment strategies. As the Fund may therefore employ sophisticated strategies (including the use of derivatives), it is intended only for knowledgeable and experienced investors who are able to understand and evaluate both the investment strategy and its inherent risks (such understanding, and evaluation may be gained through advice from a professional advisor).

The Fund relies upon the performance of Winton and the Strategy. If the Strategy performs poorly the value of your investment is likely to be adversely affected.

The Fund may use derivatives to try to reduce movements in currency exchange rates. This may not always be successful and may result in greater fluctuations in the value of the Fund. This may negatively affect the value of the Fund and your investment.

More information in relation to risks in general may be found in the «Risk Factors» section of the prospectus.



## CHARGES

The charges paid/you paid are used to finance the costs of running the Fund, including the costs of distribution. These charges reduce the potential growth of investments.

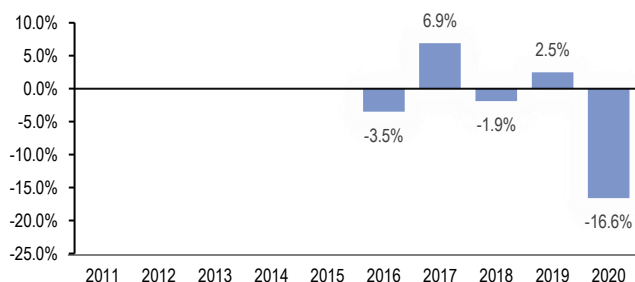
ONE-OFF CHARGES TAKEN BEFORE OR AFTER YOU INVEST	
Entry charge	None
Exit charge	None
This is the maximum that might be taken out of your money before it is invested (entry charge) and before the proceeds of your investment are paid out (exit charge).	
CHARGES TAKEN FROM THE FUND OVER A YEAR	
Ongoing charges	1.20%
CHARGES TAKEN FROM THE FUND UNDER CERTAIN SPECIFIC CONDITIONS	
Performance fee	
18% of the amount, negative or positive, that the Gross Asset Value (net asset value (NAV) before deduction of performance fee) of the Share Class exceeds the higher of i) the previous day's NAV and ii) the High Water Mark (HWM), accrued daily and paid annually. HWM for any day is NAV at the end of the last annual performance fee period where the NAV was higher than HWM, increased by the cumulative daily hurdle adjustments (based on the 3-month LIBOR rate of the Share Class Currency). In the last financial year 0.00% of daily average value of the share class was charged as performance fee.	

The entry and exit charges shown are maximum figures. In some cases you might pay less - you can find this out from your financial adviser or distributor.

The ongoing charges figure is based on expenses for the twelve month period ending 30 June 2021. It may vary from year to year. It excludes portfolio transaction costs and performance fees, if any.

For more information about charges, please refer to the "Fees and Expenses" section of the Prospectus, which is available at [www.fundsquare.net](http://www.fundsquare.net).

## PAST PERFORMANCE



Please be aware that past performance is not a reliable indicator of future results.

The fees for the ongoing Fund charges are included in the calculation of past performance. The entry/exit fees are excluded from the calculation of past performance.

The Fund was launched in 2010 and the share class was launched in 2015.

Past performance has been calculated in GBP.

As from 11-01-2020, the management company changed from DWS Investment S.A. to Alma Capital Investment Management.

## PRACTICAL INFORMATION

The depositary bank of the Fund is RBC Investor Services Bank S.A. Copies of the prospectus, the latest annual reports and subsequent half yearly reports (all in English) as well as other information (including the latest share prices) are available free of charge from Alma Capital Investment Management or at [www.fundsquare.net](http://www.fundsquare.net).

Details of the up-to-date remuneration policy, including, but not limited to, a description of how remuneration and benefits are calculated, the identity of persons responsible for awarding the remuneration and benefits, are available at the registered office of the management company, upon request of an investor. More share classes may be available for this Fund - please refer to the prospectus for further details. Please note that not all share classes may be registered for distribution in your jurisdiction.

The taxation regime applicable to the Fund in Luxembourg may affect your personal tax position.

Alma Platinum IV may be held liable solely on the basis of any statement contained in this document that is misleading, inaccurate or inconsistent with the relevant parts of the prospectus for the Fund.

Alma Platinum IV has a number of different funds. The assets and liabilities of each fund are segregated by law. The prospectus and the periodic reports are prepared for Alma Platinum IV as a whole. You may apply for shares in this Fund to be converted into shares of other funds of Alma Platinum IV. The switch charge (if any) may be charged on such a conversion. For more information on how to convert your shares to shares of other funds, please refer to the "Conversion of Shares" section in the prospectus.

**The Fund is authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier. Alma Capital Investment Management is authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier. This key investor information is accurate as at 28-10-2021.**